



Register

July, 2003

Supplement

DOT Security Plan

Effective March 25, 2003, persons handling hazardous materials will be required to implement a written security plan to ensure the safety of the surrounding community and to eliminate potential terrorist activity. The USDOT has determined that security plans must be implemented by September 25, 2003 for each person who offers hazardous materials for transportation as outlined in *49 CFR 172.800 – Purpose and Applicability*.

The USDOT, in coordination with the Research and Special Programs Administration (RSPA), has issued this final rule requiring the implementation of security plans at certain hazardous material handling facilities.

These Security Plans include the following elements:

- A ***Risk Assessment*** to determine possible transportation security risks for shipments of hazardous materials.
- ***Personnel Security*** to “confirm information provided by job applicants hired for positions that involve access to and handling of the hazardous materials covered by the security plan.”
- ***Unauthorized Access*** to indicate measures taken to reduce the “risk that unauthorized persons may gain access to the hazardous materials covered by the security plan
- ***En Route Security*** to ensure the security of “hazardous materials covered by the security plan en route from origin to destination, including shipments stored incidental to movement.”

In association with the DOT security plan requirements, the U.S. Customs and Border Protection, under the U.S. Department of Homeland Security, has developed a voluntary program to help protect industries against terrorist actions, termed ***Customs–Trade Partnership Against Terrorism*** (C-TPAT). The goal of the C-TPAT program is to enhance the physical security of the production, transportation, and importation elements of the supply chain process involving hazardous materials. Through this program, the facility and U.S. Customs Service work together to provide a more secure supply chain.

IMDG Limited Quantity Regulation Change



IMO has revised their International Dangerous Goods Code in regards to the marking requirements for Limited Quantities of Dangerous Goods. Under IMDG regulations, Limited Quantities of Dangerous Goods are not required to be labeled or bear the Marine Pollutant Mark. IMO is now requiring

shipments containing Limited Quantities to be marked with the appropriate UN Number placed within a diamond.

IATA Lithium Battery Regulation Change

ICAO has revised the Lithium Battery Special Provision to require all lithium batteries to be tested in accordance with the UN Manual of Tests and Criteria. In addition, Lithium Batteries that meet the special provision are required to be marked to indicate that the packaging contains Lithium Batteries and actions to take in the event of an emergency. The USDOT has proposed to revise their Lithium Battery regulations in accordance with the IATA changes. These regulation changes are proposed to take effect during 2003.

DOT Registration Deadline

Facilities required to utilize a DOT registration number are required to renew each year prior to **July 1** or before a qualifying shipment is offered. Effective with the 2003-2004 registration year, the USDOT has reduced the registration fees for small businesses and large corporations. The revised one-year registration fee for small business is \$150 and \$300 for large corporations. Fees are also reduced for two and three year registration submittals.

IATA Marking Requirement

Effective January 1, 2004, packagings being offered for air transportation under IATA regulations, including those used for limited quantities of dangerous goods, must be marked to indicate that the shipper has determined that the packaging meets the applicable air transport requirements. The marking must include the symbol consisting of an aircraft within a circle, and may include the words “Air Eligible”. The marking must be durable and placed on the outer package near the Proper Shipping Name and UN Number, or near the “LTD QTY” marking.

